Capita



What we are covering

Data Validation Report

- Action Indicators
- Errors
- Warnings.

Data Validation Report

Action Indicators

Each line of data in the Data Validation Report (DVR) includes a field called 'Action Indicator' to instruct us how to process the data record.

Options are:

- A Add/Amend
- C Confirm
- D Delete.

Data Validation Report

Errors

To correct an error - amend the data in the report

- Set the action indicator to 'A' for add/amend as there has been a correction.
- Set the indicator to 'D' for us to delete if no correction is needed
- 'C' indicator is for records that fail with a warning, not those with an error.

Data Validation Report

Warnings

To correct a warning

- Set the action indicator to 'A' for add/amend as there has been a correction.
- Set the action indicator to 'D' if the record is interfaced incorrectly and this will remove it from the member record
- Set the action indicator to 'C' if you assessed the data's validity and believe it is correct
- Set the action indicator to 'D' if you need to change a 'key field' and provide a new line of data and then 'A' for the new information to be loaded into Hartlink.

Validations - Basic Details

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10009	BASIC	The member's National Insurance number (NI number) is either missing, starts with 'TP' (indicating a temporary number), or does not conform to the standard format or content rules for NI numbers, including the use of a suffix other than A, B, C, or D. This check is based on Capita's primary key indicator for member identification and is also linked to the Pensions Regulator's common data items.	This data validation failure must be corrected directly through the interface team. Please speak with the interface team to resolve this issue.	Ensuring Accurate NI numbers is crucial Receiving correct National Insurance (NI) numbers for our members is essential. Incorrect NI numbers can result in duplicate records, leading to significant Data Validation Failures. Moreover, these inaccuracies can cause issues when calculating member benefits, potentially affecting the accuracy and reliability of our services.	Changed from Warning to Error
10019	BASIC	The date entered in the Date of Birth field is equal to or earlier than 01/01/1900, which is not acceptable.	Please provide a valid Date of Birth through your validation report.	The Importance of providing accurate Date of Birth Information Employers must ensure they send accurate Dates of Birth for their members. This information is critical for several reasons: • Accurate record keeping • Benefit calculations • Identity verification	Changed from Warning to Error
10037	BASIC	EPA (Effective Pension Age) cannot be restarted as it was closed more than 5 years ago.	Please review the member's basic record and mark the basic set with an 'A' indicator. Ensure the ERESTIND field is empty (or blank) and resubmit the record through your validation report.	Why EPA contracts closed for over 5 years cannot be restarted EPA (Effective Pension Age) contracts within the Civil Service Pension Scheme that have been closed for more than five years cannot be restarted due to several critical reasons: Regulatory compliance Data integrity	Change from Warning to Error

Validations - Service History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10049	SERVICE	The member has two consecutive lines of service history with a start reason (Service Start Reason) that should not usually be repeated (e.g., two consecutive lines with Service Start Reason 'BIA' for industrial action). This suggests there may be inconsistencies in the data or that rows separating these codes are possibly missing. This check ignores consecutive 'C', 'ML', 'N', 'R', 'SH', or 'RB' codes, as these are acceptable in certain circumstances.	 Place a 'D' indicator against any inconsistent lines in the member's service history record Provide amendment lines to show the correct Service Start Reason. 	Avoiding consecutive Service Start Reasons Employers should avoid supplying two consecutive lines of service history with the same Service Start Reason, especially when it is not typically repeated (e.g., 'BIA' for industrial action). • Data accuracy .	Change from Warning to Error
10051	SERVICE	The start reason (Service Start Reason) on the member's first period of service history is not 'N' [New Starter] or 'OO' [Optant Out]. This absence suggests the member may have had prior service that is not currently recorded.	Place a 'D' indicator against the first line of the member's service history record and provide an amendment line with a Service Start Reason of 'N' or 'OO', depending on whether they were opted in or out at that date. Add extra lines to reflect any additional service that was missing from our records, ensuring that the first line on the member's service history record has a Service Start Reason of 'N' or 'OO'.	The Importance of accurate Service Start Reasons Employers must ensure that the Service Start Reason for a member's first period of service history is either 'N' (New Starter) or 'OO' (Optant Out). Failing to do so can have significant implications: • Complete service records	Change from Warning to Error
10053	SERVICE	An end date is missing from the indicated line, but there is a Service End Reason. The service history will be rejected	Please provide the service history record with both the end date and end reason populated	The importance of providing complete service history Information Employers must ensure that an end date is provided for any service history line that includes a Service End Reason. Failing to do so can lead to significant issues: Data Integrity System rejection Benefit calculations Compliance and reporting	Change for Warning to Error

Validations - Service History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10079	SERVICE	The date entered in the Service Start Date field for a line in the member's service history is equal to or earlier than 01/01/1900.	Please mark any inconsistent service history lines with a 'D' indicator and provide amendment lines with corrected Service Start Dates. Submit these via your validation report.	The importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: • Data accuracy • System errors	Change for Warning to Error
10095	SERVICE	You have interfaced a salary record with a grade code indicating the member is a reserved rights prison officer. This is not possible after 01/04/2022; only existing reserved rights members can use this grade code	Please ensure you have used the correct grade number in the member's salary record and mark the salary with an action indicator of 'A' to amend the data. The grade code you use must be one that you have previously interfaced to Capita in your GRADE code file.	Ensuring accurate grade codes for reserved rights prison officers Employers must ensure that salary records with a grade code indicating the member is a reserved rights prison officer are accurate. • Regulatory compliance	Change for Warning to Error
10109	SERVICE	The date entered in the Service End Date field for a line in the member's service history is equal to or earlier than 01/01/1900.	Please mark any inconsistent service history lines with a 'D' indicator and provide amendment lines with corrected service end dates. Submit these via your validation report.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: • Data accuracy • System errors	New DVF (Error)
10110	SERVICE	The service history information before 1 April 2022	Please mark the service history lines that are prior to 1 April 2022 with a 'D' indicator. Any service history prior to 1 April 2022 needs to be re-supplied through the statement of work (SOW) process.	Why employers must avoid providing service history information over 12 months old Employers are not allowed to provide service history information that is prior to 1 April 2022 due to several critical reasons: Regulatory compliance Member benefits	New DVF (Error)

Validations - Salary History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10136	SALARY	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Upon receiving this validation, Capita is alerting you that two or more records have been updated with the same information. Please review the data and select 'A' on the action indicator.	Validation of multiple record opdates • Data integrity	Change for Warning to Error
10141	SALARY	The date entered in the Salary Start Date field for a line in the member's Salary history is equal to or earlier than 01/01/1900.	Please mark any inconsistent Salary History lines with a 'D' indicator and provide amendment lines with corrected Salary Start Dates. Submit these via your validation report. If any of the modified data is still present in your interface, ensure you update it and submit it through your usual interface process.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)
10142	SALARY	The date entered in the Salary End Date field for a line in the member's Salary history is equal to or earlier than 01/01/1900.	Please mark any inconsistent Salary History lines with a 'D' indicator and provide amendment lines with corrected Salary End Dates. Submit these via your validation report. If any of the modified data is still present in your interface, ensure you update it and submit it through your usual interface process.	The Importance of Avoiding Dates of 01/01/1900 or Earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations - Service Pay History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10151	PAY HISTORY	A member whose service record indicates they are not in the Classic scheme section has WPS contribution figures. This check is performed for each financial year of the member's service and identifies any WPS Contributions field with a value other than zero.	Please review the member's record, as it is not possible for them to be contributing to the WPS scheme. All active members should only be enrolled in either the Alpha or Partnership scheme. Kindly update the WPS contributions to zero and set the action indicator to 'A'.	Ensuring accurate Scheme membership and contributions It is crucial to verify that a member whose service record indicates they are not in the Classic scheme section does not have WPS (Widows, Widowers, and Surviving Civil Partners) contribution figures. This check is performed for each financial year of the member's service and identifies any WPS Contributions field with a value other than zero	Change for Warning to Error
10153	PAY HISTORY	corresponding Added Years contract	Please mark the pay history that is inconsistent with the AVC contract with an 'A' indicator and provide amended data with the corrected AVC Added Years amount.	Ensuring consistency in contribution records It is crucial to verify that a member's pay history showing Added Years Contributions has a corresponding entry in the AVC (Additional Voluntary Contributions) History set. Here's why this is important: • Data accuracy	Change for Warning to Error

Validations - Service Pay History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10155	PAY HISTORY	A field in Pay History that should contain zero at the very least (such as Added Pension AVCs, Added Pen AVCs (Self Only), Added Years, Scheme contributions, Added Year family benefits) is showing a negative value.	Please check the member's pay history. Mark any pay history lines with a negative value with an 'A' indicator and provide amendment lines with the correct values, ensuring they are zero or above.	Negative values in pay history fields These fields include Added Pension AVCs, Added Pen AVCs (Self Only), Added Years, Scheme Contributions, and Added Year Family Benefits. Data integrity Employee trust Operational efficiency	Changed from Warning to Error
10164	PAY HISTORY	or more after the 01/04 start date.	Please review the Pay History and amend the end date so that it falls within the scheme year of the start date. If the end date is correct and the start date is incorrect, please amend the start date. Select an action indicator of 'A'.	 Incorrect end date provided Legal compliance Employee benefits Financial planning 	Changed from Warning to Error
10167	PAY HISTORY	The member's earnings figure is not greater than the previous month. Please ensure a year-to-date cumulative figure is supplied each month.	Please review the Pay History and amend the pensionable earnings to include the year-to-date contributions for the Added Years pension. Use an action indicator 'A'. If the member is on a temporary absence and not paying Added Years contributions, confirm the error using an action indicator 'A' and ensure you send a Service History indicating the period of absence.	Employer's responsibility for monthly earnings reporting • Year-to-date cumulative figure	Changed from Warning to Error
10168	PAY HISTORY		Please review the Pay History and amend the Scheme contributions to include the current month. Use an action indicator 'A'. If the member is on a temporary absence and not paying Scheme contributions, confirm the error using an action indicator 'A' and ensure you send a Service History indicating the period of absence.	Employer's responsibility for Scheme contributions reporting • Year-to-date cumulative figure:	Changed from Warning to Error

Validations - Service Pay History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10169	PAY HISTORY	The member's Added Pension (Member & Spouse) figure is not greater than the previous month. Please ensure a year-to-date cumulative figure is supplied each month.	Please review the Pay History and amend the Added Pension (Member and Spouse) contributions to include the current month. Use an action indicator 'A'. If the member is on a temporary absence and not paying Added Pension contributions, confirm the error using an action indicator 'A' and ensure you send a Service History indicating the period of absence.	Ensuring accurate pension reporting • Year-to-date cumulative figure	Changed from Warning to Error
10171	PAY HISTORY	for an active member with under 30 days of active service	Please send a Pay History for the member with an action indicator of 'A'. If the member has left, provide an action indicator of 'C' and include a Service History with the member's leaver details and an action indicator of 'A'.	No Pay History under 30 days of service If a member joins after the payroll cut-off date, we understand that they may not receive payment for that specific month. Therefore, we do not expect any pay information to be supplied for that period. However, if the member joins before the payroll cut-off date, we expect to receive their earnings and contributions to ensure accurate pay information is recorded.	New DVF (Warning)
10172	PAY HISTORY	No Pay History record has been supplied for an active member with over 30 days of active service	Please send a Pay History for the member with an action indicator of 'A'. If the member has left, provide an action indicator of 'A' and include a Service History with the member's leaver details and an action indicator of 'A'.	No Pay History after 30 days of service If a member has been active in the Alpha scheme for over 30 days, we expect to receive their pensionable earnings and scheme contributions. If we do not receive these earnings and contributions, it may indicate that the member is not part of the pension scheme.	New DVF (Error)

Validations - Service History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10175	PAY HISTORY	No employer contributions were received in this month's interface for an active Partnership member with under 30 days of active Partnership service.	Please review the Pay History and amend the employer contributions to include the year-to-date contributions. Use an action indicator 'A'. If the member is on a temporary absence and has not been paid any pensionable earnings, confirm the warning using an action indicator 'C' and ensure you send a Service History indicating the period of absence.	No Pay History under 30 days of service If a member joins after the payroll cut-off date, we understand that they may not receive payment for that specific month. Therefore, we do not expect any pay information to be supplied for that period. However, if the member joins before the payroll cut-off date, we expect to receive their earnings and contributions to ensure accurate pay information is recorded.	New DVF (Warning)
10176	PAY HISTORY	No employer contributions were received this month for an active Partnership member with over 30 days of service.	Please review the pay history and update the employer contributions to include the year-to-date amounts. Use action indicator 'A'. If the member is on a temporary absence and has not received any pensionable earnings, confirm the error using action indicator 'A' and ensure you send a Service History indicating the period of absence.	No Pay History after 30 days of service If a member has been active in the Partnership scheme for over 30 days, we expect to receive the employer pensionable contributions If we do not receive these contributions, it may indicate that the member is not part of the pension scheme.	New DVF (Error)
10186	PAY HISTORY	Scheme contributions were not received for an active Alpha member with less than 30 days of service.	Please review the pay history and update the scheme contributions to include the accrued amounts to date. Use action indicator 'A'. If the member is on a temporary absence and is not making scheme contributions, confirm the warning using action indicator 'C' and ensure you send a Service History indicating the period of absence.	No Scheme Contributions under 30 days of service If a member joins after the payroll cut-off date, we understand that they may not receive payment for that specific month. Therefore, we do not expect any pay information to be supplied for that period. However, if the member joins before the payroll cut-off date, we expect to receive their contributions to ensure accurate pay information is recorded.	New DVF (Warning)

Validations - Pay History

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10187	PAY HISTORY	Scheme contributions were not received for an active Alpha member with over 30 days of service.	Please review the pay history and update the scheme contributions to include the accrued amounts to date. Use action indicator 'A'. If the member is on a temporary absence and is not making scheme contributions, confirm the error using action indicator 'A' and ensure you send a Service History indicating the period of absence.	No Scheme contributions after 30 days of service If a member has been active in the Alpha scheme for over 30 days, we expect to receive their scheme contributions. If we do not receive these contributions, it may indicate that the member is not part of the pension scheme.	New DVF (Error)

Validations - Address

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10211	ADDRESS	The address is marked as 'Gone Away'. Please provide a current address	Please check the member's address record, set the action indicator to 'A', and provide the correct name code for the member's address.	Address marked as "Gone Away" Holding an address as 'Gone Away' can lead to several issues: • Miscommunication	Changed from Warning to Error
10216	ADDRESS	The date entered in the ADDRESS ADDEFF field for a line in the member's address history is equal to or earlier than 01/01/1900.	Please mark any inconsistent address lines with a 'D' indicator and provide amendment lines with the corrected ADDRESS ADDEFF. Submit these via your validation report.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations - Bonus Details

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10229	BONUS	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Capita are warning you that two or more records have been updated with the same information. Please take the corrective action and select "A" on the action indicator.	Validation of multiple record updates • Data Integrity	Changed from Warning to Error
10234	BONUS	The date entered in the Bonus EFFDTE field for a line in the member's bonus history is equal to or earlier than 01/01/1900.	Please mark any inconsistent bonus history lines with a 'D' indicator and provide amendment lines with corrected Bonus EFFDTE. Submit these via your validation report.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations - Allowance

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10254	ALLOWANCE	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Capita are warning you that two or more records have been updated with the same information. Please take the corrective action and select "A" on the action indicator.	Validation of multiple record updates • Data integrity	Changed from Warning to Error
10259	ALLOWANCE	The date entered in the Allowance Start Date field for a line in the member's Allowance history is equal to or earlier than 01/01/1900.	Please mark any inconsistent Allowance History lines with a 'D' indicator and provide amendment lines with corrected Allowance Start Dates. Submit these via your validation report.	The importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)
10260	ALLOWANCE	The date entered in the Allowance End Date field for a line in the member's Allowance history is equal to or earlier than 01/01/1900.	Please mark any inconsistent Allowance History lines with a 'D' indicator and provide amendment lines with corrected Allowance End Dates. Submit these via your validation report.	The Importance of Avoiding Dates of 01/01/1900 or Earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations - Part Time Hours

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10267	PART TIME HOURS	A part-time hour's record submitted with a blank entry for the full-time hours field (FULL TIME HOURS).	Please review the member's working pattern history. Mark any line with incorrect figures with an 'A' indicator and provide the corrected part-time hours. If the member was not working part-time during that period, mark the line for deletion.	When it comes to pensions, providing full-time hours for a part-time employee can be important for several reasons: • Accurate Contributions • Service Credit	Changed from Warning to Error
10273	PART TIME HOURS	A member working part-time has a weekly full-time equivalent (Full-Time Hours) of less than 35 hours	Please review the member's working pattern history. Mark any inconsistent lines with a 'D' indicator and provide amendment lines with the corrected Full-Time Hours as appropriate. If the member was not working part-time during that period, mark the line for deletion. Hartlink assumes the member was working full-time during a period of service if there is no Part-Time Hours line covering that period, so there is no need to include lines with zero part-time hours.	Full-time equivalent of less than 35 hours • Accurate benefit calculation	Changed from Warning to Error
10280	PART TIME HOURS	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Capita are warning you that two or more records have been updated with the same information. Please take the corrective action and select "A" on the action indicator.	Validation of multiple record updates • Data integrity	Changed from Warning to Error

Validations – Part Time Hours

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10281	PART TIME HOURS	The date entered in the Part Time History Start Date field for a member's Part Time history must be on or after 01/01/1900	Please mark any inconsistent Part Time History lines with a 'D' indicator. Provide amendment lines with corrected Part Time History Start Dates and submit them via your validation report.	The importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data Accuracy System Errors	New DVF (Error)
10282	PART TIME HOURS	The date entered in the Part Time History End Date field for a member's Part Time history must be on or after 01/01/1900	Please mark any inconsistent Part Time History lines with a 'D' indicator. Provide amendment lines with corrected Part Time History Start Dates and submit them via your validation report.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations – Short Time Hours

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10283	SHORT TIME HOURS	There is an entry in the member's Short Time Hours set for additional hours worked, but the effective date (date of the short time hours) does not fall within a period of part-time working as defined in the Part Time Hours set.	If the member was working part-time on the effective date of the Short Time Hours entry, you need to reflect this by providing an amendment line for the Part Time Hours set. If the member was full-time on that date, you have two options: Mark the Short Time Hours line with a 'D' indicator and send an amendment line with a new date for the Short Time Hours entry to place it within a period of part-time working. If the member was working full-time, simply mark the entry with a 'D' indicator, as they would not have been able to accrue additional pensionable hours in this way.	• Pension calculations	Changed from Warning to Error
10290	SHORT TIME HOURS	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Capita are warning you that two or more records have been updated with the same information. Please take the corrective action and select "A" on the action indicator.	Validation of multiple record updates • Data integrity	Changed from Warning to Error
10291	SHORT TIME HOURS	The date entered in the Short-Time Hours History EFFDTE field for a line in the member's Short-Term Hours history is on or before 01/01/1900	Please mark any inconsistent Short-Time Hours History lines with a 'D' indicator. Provide amendment lines with corrected Short-Time Hours History EFFDTE dates and submit them via your validation report.	The importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)

Validations Short Time Hours Accumulative

Validation Reference	Record Type	Description	Resolution	Reason for change	Error/Warning
10296	SHORT TIME HOURS (ACCUM)	Two lines in a member's additional hours history have the same end date (End Date), indicating a possible duplicate entry.	Please check the member's service history, mark at least one of the lines with a 'D' indicator and provide any necessary amendment lines. Ensure that each line of Short Time Hours (Accum) differs by Start Date. If any of the changed data is still on your interface, you will need to update it and submit it through your usual interface process.	Short Time Hours (accum) same end date Data integrity Benefit calculations	Changed from Warning to Error
10300	SHORT TIME HOURS (ACCUM)	The validation indicates that you have attempted to update multiple records on the members interface with identical information.	Capita are warning you that two or more records have been updated with the same information. Please take the corrective action and select "A" on the action indicator.	Validation of multiple record updates • Data integrity	Changed from Warning to Error
10304	SHORT TIME HOURS (ACCUM)	The date entered in the Short-Time Hours (Accum) Start Date field for a line of the member's Short-Time Hours (Accum) is equal to or earlier than 01/01/1900.	Please mark any inconsistent Short-Time Hours (Accum) lines with a 'D' indicator and provide amendment lines with corrected Short-Time Hours (Accum) Start Dates. Submit these via your validation report. If any of the data changes are still on your interface, you will need to update that data and provide it through your usual interface process.	The Importance of Avoiding Dates of 01/01/1900 or Earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)
10305	SHORT TIME HOURS (ACCUM)	The date entered in the Short-Time Hours (Accum) End Date field for a line of the member's Short-Time Hours (Accum) is equal to or earlier than 01/01/1900.	Please mark any inconsistent Short-Time Hours (Accum) lines with a 'D' indicator and provide amendment lines with corrected Short-Time Hours (Accum) End Dates. Submit these via your validation report. If any of the data changes are still on your interface, you will need to update that data and provide it through your usual interface process.	The Importance of avoiding dates of 01/01/1900 or earlier Employers must ensure they do not use dates of 01/01/1900 or earlier in their records. This is crucial for several reasons: Data accuracy System errors	New DVF (Error)